

University Senate Open Access Initiative

Jane Otto

Laura Bowering Mullen

RGPEC Open Access Subcommittee

Research, and Graduate and Professional Education Committee

University Senate

The University Senate OA Initiative

- Charge proposed to Senate RGPEC
(Research, and Graduate and Professional Education Committee)
- RGPEC Open Access Subcommittee formed
- Report presented to University Senate
- University-wide presentations ongoing
- Senate will vote in October, 2012

Rutgers Open Access Policy

Rutgers authors
deposit legal copies of scholarly articles
in the University's digital repository (RUcore),
making scholarship freely accessible
to readers and researchers on the Internet.

What is Open Access

- free availability on the public internet
- no financial, legal, or technical barriers
- author keeps copyright

Budapest Open Access Initiative definition:

<http://www.soros.org/openaccess>

Open Access & the Rutgers Mission

- Responsibility of a public research university
- Greater visibility for Rutgers scholarship
- Facilitates Rutgers strategic goals of greater international impact
- Exemplifies Rutgers leadership role in the open access arena

An Open Access Policy at Rutgers

- Builds on previous Rutgers OA initiatives
- Responds to outside funder mandates (NIH)
- Aligns Rutgers with AAU colleague institutions
- Brings Rutgers into the growing OA movement

“to the extent possible”

The University Senate OA Report

Rutgers University Open Access Policy Resolution

Open Access Subcommittee
Research & Graduate & Professional Education Committee
University Senate

DRAFT – NOT FOR DISTRIBUTION

February 2, 2012

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Gathering Input

- Newark Faculty Council (April 2)
- Camden FAS + Nursing, Business, Law schools (April 9)
- SEBS Chairs Council (April 25)
- EVPAA's Council (May 7)
- New Brunswick Faculty Council (May 11)
- Grad School-NB Executive Council (May 22)
- ... and others

Rutgers Open Access Policy

DRAFT

[Prologue]

Rutgers, the State University of New Jersey, is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, Rutgers University adopts the following policy: Each scholar grants Rutgers permission to make available his or her scholarly articles and to exercise the copyright in those articles. More specifically, each scholar grants to Rutgers a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, provided that the articles are not sold for a profit, and to authorize others to do the same. The Rutgers author remains the copyright owner unless that author chooses to transfer the copyright to a publisher. The policy applies to all scholarly articles authored or co-authored while the person is affiliated with Rutgers, except for any articles completed before the adoption of this policy, and any articles for which the scholar entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Executive Vice President for Academic Affairs (or his or her designate) will waive application of the license for a particular article or delay access for a specified period of time upon express direction by a scholar. The University also commits to incorporating mechanisms at the graduate level to educate students in the Open Access process.

Each scholar will provide, at no charge, an electronic copy of the author's final version of each article, no later than the date of its publication, to the designated representative of the Executive Vice President for Academic Affairs, in an appropriate format (such as PDF) as specified by the Executive Vice President for Academic Affairs.

The Executive Vice President for Academic Affairs will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Senate from time to time. The policy will be reviewed after three years and a report presented to the Senate.

(Note: This draft policy language follows Harvard's "A Model Open-Access Policy" by Stuart M. Shieber, retrieved from http://osc.hul.harvard.edu/sites/default/files/model-policy-annotated_0.pdf)

Proposed OA Policy at Rutgers


- For all Rutgers faculty and scholars
- Scholarly articles
- Prospectively applied
- Embargoes, opt-outs and waivers
- Focus on public access for readers/researchers
- Innovations (inclusive; introduces data)
- Separates policy from its implementation

The Vehicle for Open Access: a Digital Repository

- The institutional repository (RUcore)
- Contents visible on web if OK with publisher
- Journal articles, conference proceedings, ETDs
- Crawled by Google
- Run by Rutgers at no cost to author

Two Types of Repositories

Subject repositories




Open access to 737,951 e-prints in Physics, Mathematics, Co
Subject search and browse:




SOCIAL SCIENCE RESEARCH NETWORK
Tomorrow's Research Today

Institutional repositories



Log in to My Deep Blue to Deposit Files Items Receive email notices Edit Your Profile
Get more info About Deep Blue Help Contact Us

Deep Blue at the University of Michigan >
Deep Blue is the University of Michigan's permanent, safe, and accessible service for representing a leader in research, teaching, and creativity.
Finding work by U-M authors: You can browse or search the contents of Deep Blue via the option on the left.
Depositing your work: We will create a collection for you, or add you as a contributor to an existing collection.




DASH Home | Browse & Search | MyDASH | About DASH | Deposit Your Work | DASH FAQ | OSC

What is DASH?
A central, open-access repository of research by members of the Harvard community.

Featured Works
Why Have Americans Become More Obese?
If you want to understand obesity in America, and how it's changed in the last few decades, just take a look at the potato.

Search
Keyword Search
Advanced Search

Browse
Communities & Collections
Harvard-affiliated Authors
All Authors
Titles
Keywords



RUcore Rutgers University Community Repository

RUresearch
Faculty research data not available

Open Source Projects | Developers' Area | Contact Us | Version 1.1

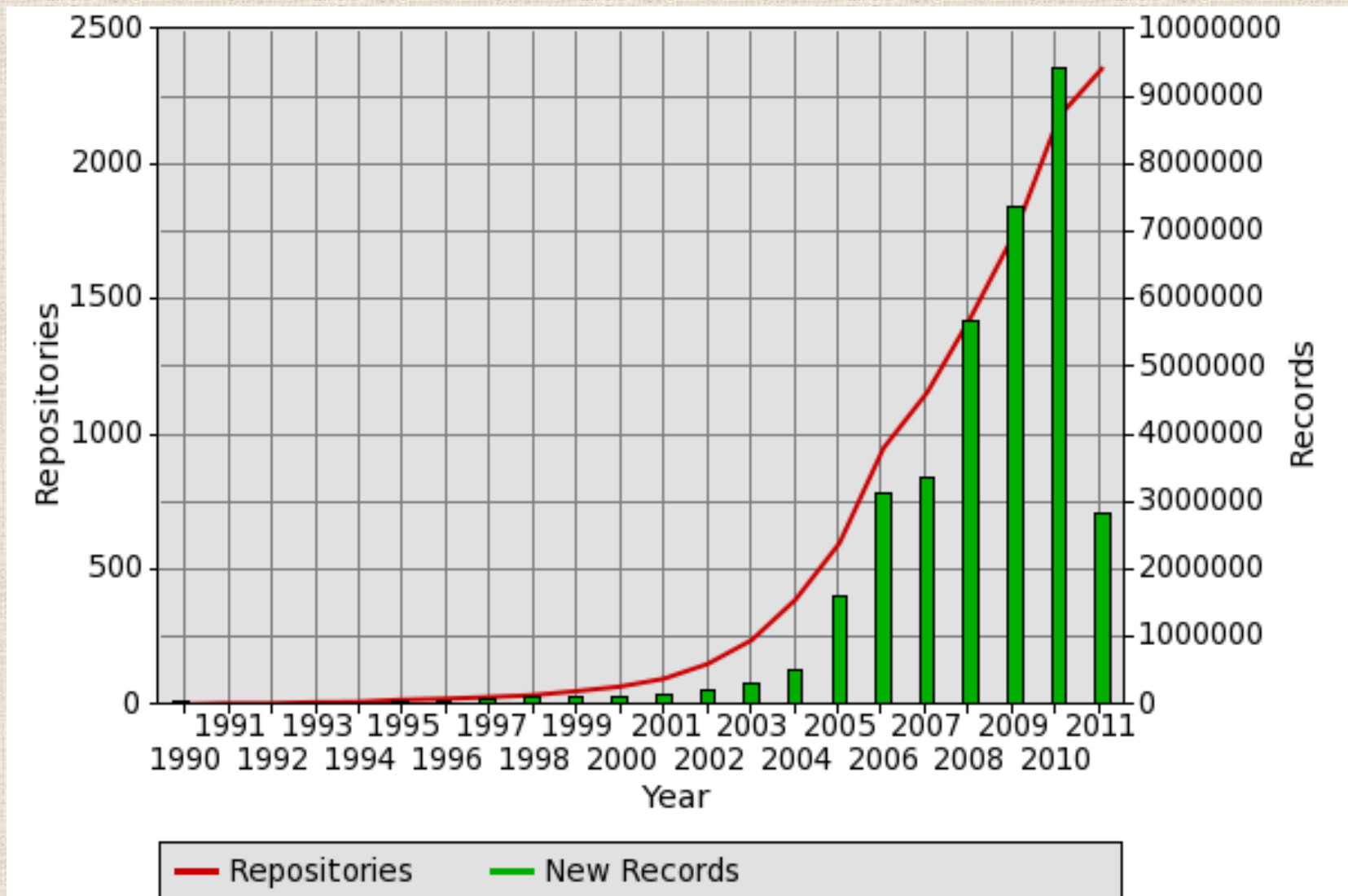
Search
Advanced Search | Help

Search all content in all RUcore collections.

Search by Collection
Faculty/Departmental Collections
RUcore (Rutgers Electronic Theses and Dissertations)
RUcore Portals to Specific Collections
New Jersey Digital Highway

Collaborations
WAMND Women Artists Archives National Directory
Video Mosaic Collaborative

Growth of Open Access Repositories



Benefits of Archiving in a Repository

- Disseminate your research sooner
- Showcase all Rutgers scholarship in one place
- Research is discovered by Google Scholar
- Usage data to demonstrate impact
- Permanent links you can use
- Ensure access over time
- Underlying data can link with publication itself

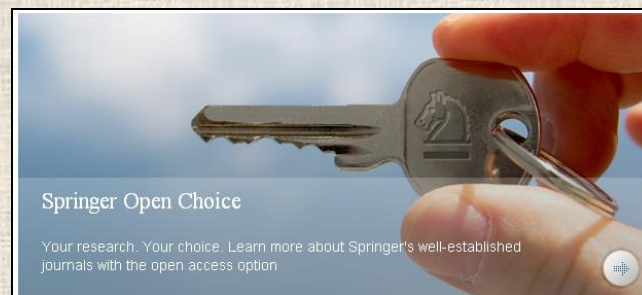
Accommodate Disciplinary Differences



Open Access Journals

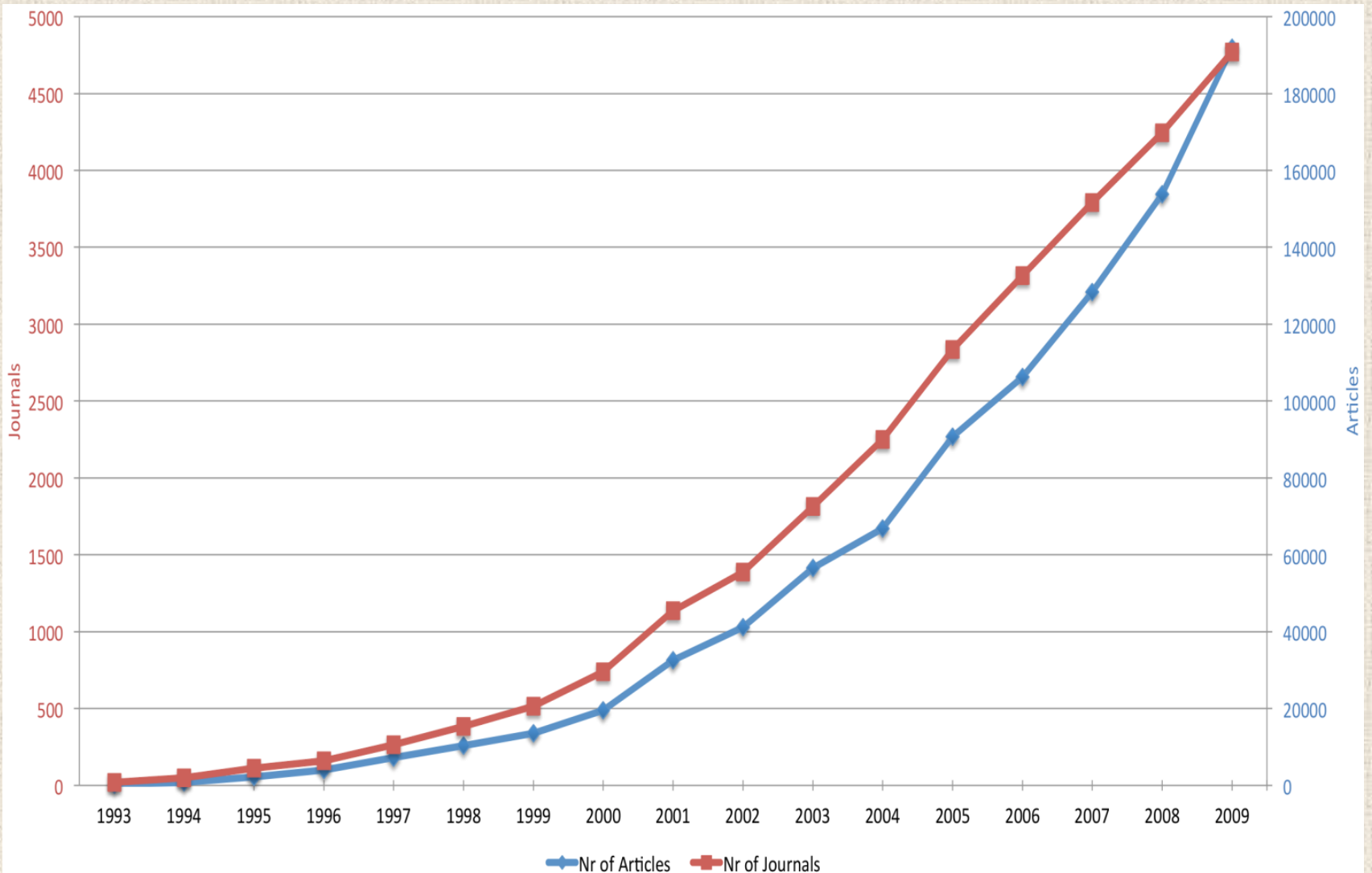


PCSP **Pragmatic Case Studies
in Psychotherapy**
a peer-reviewed e-journal of systematic case studies & case study method articles



not the focus of the Rutgers policy

Growth of active OA journals and research articles published in them



Benefits of Open Access

- Reach more readers & researchers
- Publish in your journal of choice
- Keep your copyright
- Increase citation/research impact

<http://opcit.eprints.org/oacitation-biblio.html>

OpCit The Open Citation Project

Selected AAU Institutions with OA Policies

- Case Western Reserve University
- Cornell University
- Duke University
- Emory University
- Harvard (FAS, Business, Law School, Medical School)
- Massachusetts Institute of Technology
- Princeton University
- Stanford University School of Education
- University of California
- University of Florida (proposed)
- University of Kansas
- University of Virginia (proposed)

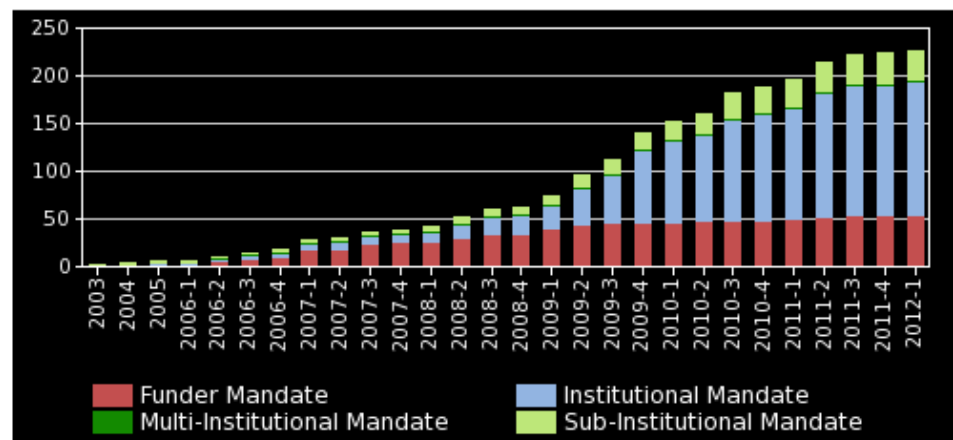
ROARMAP: Registry of Open Access Repositories Mandatory Archiving Policies

[Register your Institutional Open Access Mandate](#)
[HERE](#)

(Please also register your Institutional Repository in [ROAR](#) if not yet registered)

Total Mandates to Date (by type)

- Institutional Mandates (137)** Proposed Institutional Mandates (4)
- Sub-Institutional Mandates (33)** Proposed Sub-Institutional Mandates (3)
- Multi-Institutional Mandates (1)** Proposed Multi-Institutional Mandates (5)
- Funder Mandates (52)** Proposed Funder Mandates (7)
- Thesis Mandates (89)**

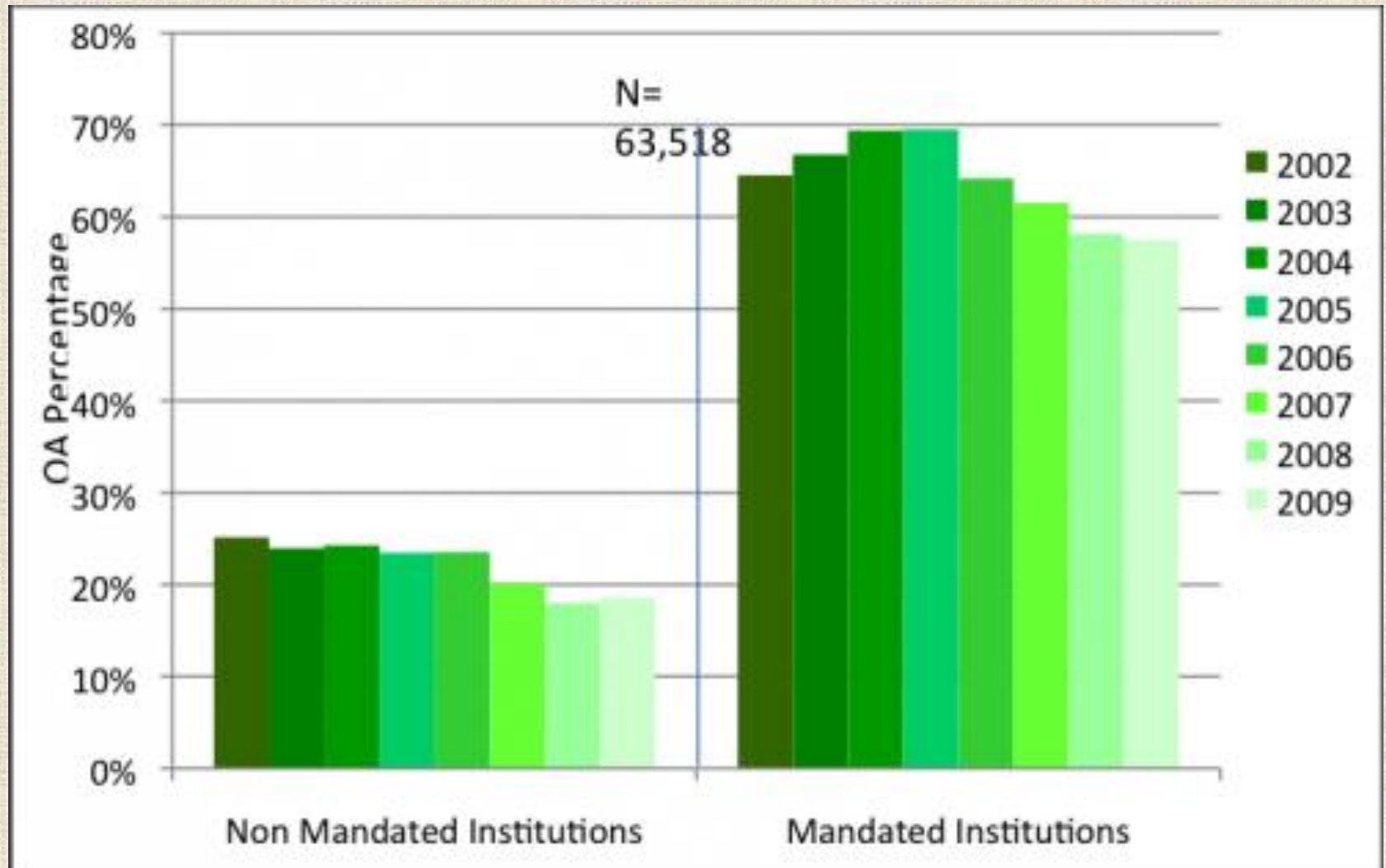


COUNTRY	INSTITUTION(s) or FUNDER(s)	REPOSITORY URL(s)	Policy details
Slovenia	University of Ljubljana, Faculty of Education	http://pefprints.pef.uni-lj.si	Policy details
Norway	University of Oslo	http://www.duo.uio.no/ , http://www.cristin.no/as/WebObjects/cristin.woa	Policy details
United Kingdom	University of Reading	http://centaur.reading.ac.uk	Policy details
Australia	National Health and Medical Research Council (NHMRC)	UNSPECIFIED	Policy details
Australia	Queensland University of Technology	http://eprints.qut.edu.au/	Policy details
Australia	University of Tasmania: School of Computing	http://eprints.comp.utas.edu.au/	Policy details
Australia	University of Tasmania	http://eprints.utas.edu.au/	Policy details
Australia		http://leven.comp.utas.edu.au/AuseAccess	

Policies and/or Mandates

- Continuum of policies from “voluntary participation” to true “mandates”
- Mandates requiring deposit of all publications are most effective; RU proposal is for the faculty to adopt a policy
- University of Liege employs a true mandate; no publications/references may be listed on promotion materials/CVs unless deposited

Mandates Triple the Percent Open Access via Repository



Can I Still Publish in My Favorite Journal?

- Majority of traditional publishers today (>60%) allow repository deposit of author's final version
- Know your rights as an author!
- Check on your favorite journal or publisher policy on SHERPA/RoMEO website

Publisher copyright policies & self-archiving

Search

Journal titles or ISSNs **Publisher names**

Exact title **starts with** **contains** **ISSN**

[Advanced Search](#)

Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

Implementation (Phase 2)

- Form Implementation Working Group
- Make deposit **convenient** for authors
- Without changing your publication patterns
- With no added cost to authors
- Acknowledging disciplinary differences

Questions?